

FORM PTO-1390 (Modified)
(REV 11-98)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

527 Rec'd PCT/PTO 25 APR 2000
U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.5)
09/530143

INTERNATIONAL APPLICATION NO.
PCT/JP99/04957

INTERNATIONAL FILING DATE
10 September 1999

PRIORITY DATE CLAIMED
10 September 1998

TITLE OF INVENTION

ROBOT APPARATUS, CONTROL METHOD FOR ROBOT APPARATUS, DISPLAYING METHOD AND FURNISHING MEDIUM

APPLICANT(S) FOR DO/EO/US

SABE, Kotaro and FUJITA, Masahiro

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)). w/Verification of Translation.
7. ☒ A copy of the International Search Report (PCT/ISA/210).
8. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). **(unsigned)**
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 13 to 20 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. w/Form 1449 & prior art references.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☐ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☒ Certificate of Mailing by Express Mail
20. ☒ Other items or information:

- a.) Twenty-four (24) sheets of formal drawings;
- b.) Forms PCT/IB/301, 304 and 308;
- c.) Front page of published application No. WO00/15396 published on March 23, 2000; and
- d.) Certified translation of Japanese priority patent appln. No. P10-256465 filed September 10, 1998.

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.53) 5) <div style="font-size: 24pt; font-weight: bold; text-align: center;">09/530149</div>		INTERNATIONAL APPLICATION NO. <div style="font-weight: bold; text-align: center;">PCT/JP99/04957</div>		ATTORNEY'S DOCKET NUMBER <div style="font-weight: bold; text-align: center;">KOIK-Q9028</div>	
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21. The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :

- ☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$970.00
- ☒ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$840.00
- ☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$690.00
- ☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$670.00
- ☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$96.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

Surcharge of **\$130.00** for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	47 - 20 =	27	x \$18.00	\$486.00
Independent claims	14 - 3 =	11	x \$78.00	\$858.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>				\$0.00
TOTAL OF ABOVE CALCULATIONS =				\$2,184.00

Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable) ☐ \$0.00

SUBTOTAL = \$2,184.00

Processing fee of **\$130.00** for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (f)). \$0.00

TOTAL NATIONAL FEE = \$2,184.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable) ☐ \$0.00

TOTAL FEES ENCLOSED = \$2,184.00

	Amount to be: refunded	\$
	charged	\$

☒ A check in the amount of **\$2,184.00** to cover the above fees is enclosed.

☐ Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.

☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. **12-1420** A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Charles P. Sammut, Esq.
 Limbach & Limbach L.L.P.
 2001 Ferry Building
 San Francisco, CA 94111-4262

SIGNATURE

Mayumi Maeda

NAME

40,075

REGISTRATION NUMBER

4/25/00

DATE

PATENT COOPERATION TREATY
UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

In re Nat'l Phase Application of:)
)
KOTARO SABE ET AL.)
)
Based Upon International) CERTIFICATION
Application No. PCT/JP99/04957) UNDER
Int'l Filing Date: Sept. 10, 1999) 37 CFR §1.10
)
Serial No. Not Assigned)
)
Filed: Herewith)
)
For: ROBOT APPARATUS, CONTROL METHOD)
FOR ROBOT APPARATUS, DISPLAYING)
METHOD AND FURNISHING MEDIUM)
)

Attn: Designated/Elected Office (DO/EO/US)
Assistant Commissioner for Patents
Box PCT
Washington, D.C. 20231

Dear Sir:

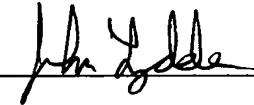
I hereby certify that this Application for entering the national phase of the above-identified International Application and the documents referred to as enclosed herein are being deposited with the United States Postal Service on this date, April 25, 2000, in an envelope bearing "Express Mail Post Office to Addressee" Mailing Label Number **EL387308396US** addressed to: Assistant Commissioner for Patents, Box PCT, Washington, D.C. 20231-0001, Attention U.S. Designated/Elected Office (DO/EO/US).

Enclosed are:

1. Transmittal Letter to the U.S. Designated/Elected Office, in duplicate;
2. Verified translation of International Application No. PCT/JP99/04957 as filed September 10, 1999, including PCT Request-Form PCT/RO/101, Specification, Claims and Abstract;
3. Combined Declaration/Power of Attorney/Claim of Priority; (unsigned)
4. Twenty-four (24) Sheets of Formal Drawings comprising Figures 1 through 27, inclusive;

5. Copies of Forms PCT/IB/301, 304, 308;
6. International Search Report;
7. Front cover page of International Application published on March 23, 2000 as Publication No. WO00/15396;
8. Information Disclosure Statement with Form 1449, Copy of International Search Report in English translation and Cited Prior Art References;
9. Assignment Document for Form PTO 1595 Recordation Cover Sheet;
10. Certified translation of Japanese priority patent application No. P10-256465 filed on September 10, 1998;
11. Check in the amount of \$2,184.00; and
12. Acknowledgement Postcard.

Dated: April 25 , 2000



JOHN LYDDAN

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Attorney Docket No. KOIK-Q9028